

OFFICIAL

RECEIVED  
CENTRAL FAX CENTER

001

JUL 23 2004

CERTIFICATE OF FACSIMILE TRANSMISSION

This correspondence is being transmitted by facsimile transmission to the United States Patent and Trademark Office on July 23, 2004, to Fax No. 703-872-9326

By Philip Koenig 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No: 09/228909  
Initially Filed: January 11, 1999  
RCE Filed: June 19, 2002  
Applicants: Lawrence KOHLS et al  
Primary Examiner: Frantzy POINVIL

Attorney Docket No: LAK-1

Art Unit: 3628

Title: TRADING SYSTEM FOR FIXED VALUE CONTRACTS

TRANSMITTAL OF AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By Facsimile to: 703-872-9326

Commissioner:

Herewith please find enclosed the following papers for filing in the matter of the above-identified application for patent:

1. Amendment submitted pursuant to 37 CFR 1.111.
2. Listing of Claims Pending Upon Submission of 37 CFR 1.111 Amendment

Should any fee may be due upon the filing of this Preliminary Amendment, you are hereby requested and authorized to charge any such fee to Pittas // Koenig deposit account No. 502052.

Respectfully submitted,

Dated: July 23, 2004

By 

Philip G. Koenig  
Reg. No. 31,386  
PITTAS // KOENIG  
P.O. Box 980  
Winchester, MA 01890  
(781) 721-6800

OFFICIAL

RECEIVED  
CENTRAL FAX CENTER

002

JUL 23 2004

**CERTIFICATE OF FACSIMILE TRANSMISSION**

This correspondence is being transmitted by facsimile transmission to the United States Patent and Trademark Office on July 23, 2004, to Fax No. 703-872-9326

By Philip Koenig

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Lawrence KOHLS et al

Docket No. LAK-1

Application No. 09/228909

Art Unit: 3628

Initial Filing date: 01/11/1999

Examiner: Frantzy POINVIL

RCE Filing date: 06/19/2002

Title: TRADING SYSTEMS FOR FIXED-VALUE CONTRACTS

**AMENDMENT UNDER 37 CFR 1.111**

Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action dated 19 July 2004, applicants submit this Amendment in order to cure the claims numbering informalities cited in said Office Action.